



State of Vermont

AGENCY OF HUMAN SERVICES

OFFICE OF THE SECRETARY
103 South Main Street
Waterbury, Vermont 05671-0204

March 29, 2010

Mr. Robert Aaronson
Director, Division of Cost Allocation
Department of Health and Human Services
26 Federal Plaza, Room 41-122
New York, NY 10278

Dear Mr. Aaronson:

Below you will find various amendment notifications for cost allocation plans within the Vermont Agency of Human Services. These updates are effective January 1, 2010.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has updated a program codes appearing in VISION data. While this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan/Dept	Plan/Dept Name	Allocation Method
37220.1	Human Services Board	Number of hearings held per quarter Results of Human Services Board staff time studies.

The Agency of Human Services Central Office (AHSCO) has deleted a program code previously appearing in VISION data. While this program code has been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan/Dept	Plan/Dept Name	Allocation Method
37080.1	Children's Integrated Services	Direct to Global Commitment

Department for Children and Families (DCF)

The Department for Children and Families (DCF) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan/Dept	Plan/Dept Name	Allocation Method
40425	Homeless Rural Youth	Direct to Homeless Rural Youth
40436	2009 Congressional Earmark/ OJJP Council & CTF	Direct to Youth Crime Prevention.
40964	ARRA – Subsidized Employment	Direct to Stimulus Funds.

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Department of Disabilities, Aging and Independent Living

The Department of Disabilities, Aging and Independent Living (DAIL) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan/Dept	Plan/Dept Name	Allocation Method
41499	MMIS – TA (Advanced Planning Document)	Direct to MMIS – TA based on positive time reporting
41607	VIEWS – DDI (Advanced Planning Document)	Direct to VIEWS – DDI based on positive time reporting
41609	VIEWS – enhanced DDI (APD)	Direct to VIEWS – enhanced DDI based on positive time reporting
41612	MMIS – IV&V (Advanced Planning Document)	Direct to MMIS – IV&V based on positive time reporting
41613	MMIS – DDI (Advanced Planning Document)	Direct to MMIS – DDI based on positive time reporting
41614	VIEWS – IV&V (Advanced Planning Document)	Direct to VIEWS – IV&V based on positive time reporting
41499	MMIS – TA (Advanced Planning Document)	Direct to MMIS – TA based on positive time reporting
41607	VIEWS – DDI (Advanced Planning Document)	Direct to VIEWS – DDI based on positive time reporting
41609	VIEWS – enhanced DDI (APD)	Direct to VIEWS – enhanced DDI based on positive time reporting
41612	MMIS – IV&V (Advanced Planning Document)	Direct to MMIS – IV&V based on positive time reporting
41613	MMIS – DDI (Advanced Planning Document)	Direct to MMIS – DDI based on positive time reporting
41614	VIEWS – IV&V (Advanced Planning Document)	Direct to VIEWS – IV&V based on positive time reporting

Office of Vermont Health Access (OVHA)

The Office of Vermont Health Access (OVHA) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan/Dept	Plan/Dept Name	Allocation Method
41616.101	CMS HIT 4201 P-APD	Direct to CMS 4201 HIT P-APD
41617.101	ONC HIT 3013	Direct to ONC HIT grant

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has identified new program codes appearing in VISION data. While all these program codes have been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
39045	Primary Care ARRA	Direct to Primary Care ARRA
39348	ARRA Healthcare-Associated Infections	Direct to ARRA Healthcare-Associated Infections
39215	Tritium Leak 2010	Direct to Tritium Leak 2010

The Vermont Department of Health (VDH) has updated al program code appearing in VISION data. While all this program code has been updated in the narrative and highlighted in red, we have included a chart below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
39756	EPSDT Administration Functions 1	Direct to Global Commitment Professional Admin Direct to Global Commitment Admin
39563	Dental Services Global Commitment Professional Medical	Direct to Global Commitment Professional Medical Direct to Global Commitment Administration

The Vermont Department of Health (VDH) has deleted a program code previously appearing in VISION data. While this program code has been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
39003	Administration – Health and Mental Health Departments	Relative Share of Salaries of the Departments of Mental Health and Health (including VSH)

Department of Mental Health

The Department of Mental Health (DMH) has identified a new program code appearing in VISION data. While this program code has been added to the narrative and highlighted in red, we have included a chart of these additions below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
39003	Administration – Health and Mental Health Departments	Relative Share of Salaries of the Departments of Mental Health and Health (including VSH)
42014	MH – Legal	Mental Health Distribution H - 50%
42015	MH - Allocation Pool	MH- Distribution 50%

The Department of Mental Health (DMH) has deleted a program code previously appearing in VISION data. While this program code has been lined-through in the narrative and highlighted in red, we have included a chart below:

Plan/Dept.	Plan/Dept. Name	Allocation Method
39003	Administration – Health and Mental Health Departments	Relative Share of Salaries of the Departments of Mental Health and Health (including VSH)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Cost Impact Assessment

Category 1 – Programs in this category are administrative allocations used to accurately reflect costs to federal benefiting programs across AHS. Overall there will be no federal effect with these new programs.

Category 2 – Programs in this category are used to identify new grants 100% federally funded.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

Category 4 – Program codes being moved from one Planned Department category to another with no changes in funding.

Category 5 – Updates in this category do have an adverse affect on federal funding.

Category 6 – Updates in narrative wording only with no change in the actual allocation method.

Note: All other program code changes identified in red on the CAP narrative are narrative updates only and have no impact on funding.

Agency of Human Services Central Office (AHSCO)

The Agency of Human Services Central Office (AHSCO) has made two changes to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 37220.1 – Human Services Board

Department for Children and Families (DCF)

The Department for Children and Families (DCF) made updates to the narrative portion that serve only to clarify the language in the cost allocation plan. They do not result in any change in federal funding. Additionally, the following categories of updates were made.

Category 2 – Programs in this category are used to identify new grants 100% federally funded.

- 40425 – Homeless Rural Youth
- 40436 – 2009 Congressional Earmark/ OJJP Council & CTF
- 40964 – ARRA – Subsidized Employment

Department of Disabilities, Aging and Independent Living

The Department of Disabilities, Aging and Independent Living (DAIL) has made a change to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are new or have been updated but have no adverse federal impact.

- 41499 – MMIS – TA (Advanced Planning Document)
- 41607 – VIEWS – DDI (Advanced Planning Document)
- 41609 – VIEWS – enhanced DDI (APD)
- 41612 – MMIS – IV&V (Advanced Planning Document)
- 41613 – MMIS – DDI (Advanced Planning Document)
- 41614 – VIEWS – IV&V (Advanced Planning Document)
- 41499 – MMIS – TA (Advanced Planning Document)
- 41607 – VIEWS – DDI (Advanced Planning Document)
- 41609 – VIEWS – enhanced DDI (APD)
- 41612 – MMIS – IV&V (Advanced Planning Document)
- 41613 – MMIS – DDI (Advanced Planning Document)
- 41614 – VIEWS – IV&V (Advanced Planning Document)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

Office of Vermont Health Access (OVHA)

The Office of Vermont Health Access (OVHA) has made the following changes to the Cost Allocation Plan this quarter.

Category 3 – Programs in this category are created or have been updated to facilitate the tracking of expenditures in an accurate fashion and have no federal impact.

- 41616.101 – CMS HIT 4201 P-APD
- 41617.101 – ONC HIT 3013

Vermont Department of Health (VDH)

The Vermont Department of Health (VDH) has made the following changes to the Cost Allocation Plan this quarter.

Category 2 - Programs in this category are used to identify new grants 100% federally funded.

- 39045 – Primary Care ARRA
- 39348 – ARRA Healthcare-Associated Infections

Category 3 – Programs in this category are created or have been updated to facilitate the tracking of expenditures in an accurate fashion and have no federal impact.

- 39756 – EPSDT Administration Functions 1
- ~~39215 – Tritium Leak 2010~~
- 39563 – Dental Services Global Commitment Professional Medical
- 39003 – Administration – Health and Mental Health Departments (deleted)
- 42014 – MH – Legal (deleted)
- 42015 – MH – Allocation Pool (deleted)

Department of Mental Health (DMH)

The Department of Mental Health (DMH) has made the following changes to the Cost Allocation Plan this quarter.

Category 2 - Programs in this category are used to identify new grants 100% federally funded.

- 42770 – Child Trauma Grant

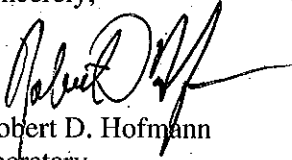
Category 3 – Programs in this category are created or have been updated to facilitate the tracking of expenditures in an accurate fashion and have no federal impact.

- 39003 – Administration – Health and Mental Health Departments (deleted)

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

We appreciate your cooperation. If you have any questions, please do not hesitate to contact Jim Giffin at (802) 241-2949.

Sincerely,



Robert D. Hofmann
Secretary
Agency of Human Services

cc:	Al Johnson	Hugh McCaslin	Matt Riven
	Allan Merritt	Jill Gould	Mike Mooradian
	Ben Black	Jim Euber	Monica Light
	Bill Kelly	Jim Giffin	Patrick Burke
	Carrie Hathaway	Jim Mall	Peter Bestenbostel
	Connie Harrison	Joan Adams	Rob Roberts
	David Cohen	Kevin O'Connell	Sherie Barbour
	Ed Dwinell	Leo Clark	Shirley Dow
	Gary Leach	Mary Ann Alligood	Stacey Drinkwine
	Heidi Hall	Mary Jane Palmer	Ursula Boehringer

* With the October 1, 2005 implementation of the Global Commitment to Health 1115 Waiver, all administrative costs allocated to the Global Commitment Waiver are now claimed at the program rate.

State and Local Cost Allocation Plans

CERTIFICATION OF CENTRAL SERVICE COST ALLOCATION PLAN

This is to certify that I have reviewed the cost allocation plan submitted herewith and to the best of my knowledge and belief:


(1) All costs included in this proposal dated March 29, 2010 to establish cost allocations or billings for [identify period covered by plan] are allowable in accordance with the requirements of OMB Circular A-87, "Cost Principles for State, Local, and Indian Tribal Governments," and Federal award(s) to which they apply. Unallowable costs have been adjusted for in allocating costs as indicated in the cost allocation plan.

(2) All costs included in this proposal are properly allocable to Federal awards on the basis of a beneficial or causal relationship between the expenses incurred and the awards to which they are allocated in accordance with applicable requirements. Further, the same costs that have been treated as indirect costs have not been claimed as direct costs. Similar types of costs have accounted for consistently.

I declare that the foregoing is true and correct.

Vermont Agency of Human Resources

Government Unit


Signature

James C. Giffin

Name of Official

Agency Financial Operations Manager

Title

3/25/2010
Date of Execution